

12-06-00

A

UTILITY PATENT APPLICATION TRANSMITTAL  
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. : 12260-02/JWE  
Inventor(s) : Pitkow and Schuetze  
Title : SYSTEM AND METHOD FOR SEARCHING AND  
RECOMMENDING OBJECTS FROM A CATEGORICALLY  
ORGANIZED INFORMATION REPOSITORY

JC918 U.S. PTO  
09/730501  
12/04/00

Express Mail Label No. : EL599667683US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Date: December 4, 2000

1. X FEE TRANSMITTAL FORM (Submit an original, and a duplicate for fee processing).

2. X Applicant claims small entity status under 37 CFR §1.27.

3. IF A CONTINUING APPLICATION

X This application is a continuation-in-part of patent application No. 09/305,844 which was filed on May 5, 1999 (Docket No. 12260-01) and is related to a co-pending application entitled HIERARCHICAL MODEL FOR DYNAMIC PERSONALIZATION OF OBJECT SPACES AND PRIVACY PROTECTION filed on instant date herewith (Docket No. 12260-04)

— This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_ (Docket No. \_\_\_\_\_).

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is supplied under item 4c below, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

4. APPLICATION COMPRISED OF

(a) Specification

65 Specification, claims and Abstract (total pages)

(b) Drawings

16 Sheets of drawing(s) (FIGS. 1 to 19)

(c) Declaration and Power of Attorney

X Newly executed  
— Copy from a prior application (37 CFR 1.63(d))  
(for continuation and divisional)  
— An **unsigned** declaration

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Only for new nonprovisional applications under 37 CFR 1.53(b))**

Docket No.: 12260-02/JWE

5. ☐ **Microfiche Computer Program** (*Appendix*)
6. ☐ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)
- ☐ Computer Readable Copy
- ☐ Paper Copy (identical to computer copy)
- ☐ Statement verifying identity of above copies

**7. ALSO ENCLOSED ARE**

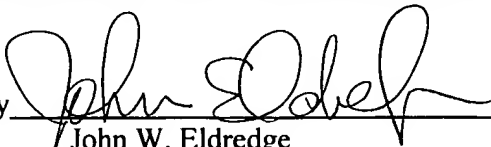
- ☐ Preliminary Amendment
- ☐ Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ 37 CFR 3.73(b) Statement (where there is an Assignee)
- ☐ This application is owned by pursuant to an Assignment recorded at Reel \_\_, Frame \_\_
- ☒ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

**8. CORRESPONDENCE ADDRESS**

Please address all correspondence to **STRADLING YOCCA CARLSON & RAUTH, IP**  
**Department, P.O. Box 7680, 660 Newport Center Drive, Suite 1600, Newport Beach, California**  
**92660-6441.**

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By   
John W. Eldredge  
Reg. No. 37,613  
(949) 725-4143

JWE/mg

**FEE TRANSMITTAL  
UTILITY PATENT APPLICATION**

**DATE: December 4, 2000**

JCS18 U.S. PTO  
09/730501  
12/04/00

Docket No. : 12260-02/JWE  
Inventor(s) : Pitkow and Schuetze  
Title : SYSTEM AND METHOD FOR SEARCHING AND RECOMMENDING OBJECTS  
FROM A CATEGORICALLY ORGANIZED INFORMATION REPOSITORY

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	49 - 20 =	29	29 x \$9.00	\$ 261.00
B	INDEPENDENT CLAIMS	6 - 3 =	3	3 x \$40.00	
C	SUBTOTAL	SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)			\$ 381.00
D	BASIC FEE	SMALL ENTITY FEE = \$355.00 LARGE ENTITY FEE = \$710.00			\$ 355.00
E	MULTIPLE-DEPENDENT CLAIMS FEE	SMALL ENTITY FEE = \$135.00 LARGE ENTITY FEE = \$270.00			
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$ 736.00
List Independent Claims: 1, 14, 25, 31, 39, 43					

**METHOD OF PAYMENT**

\_\_\_\_ Payment Enclosed: Check for \$ \_\_\_\_\_ (including filing fee of \$ \_\_\_\_\_ and \$ \_\_\_\_\_ recordation fee).

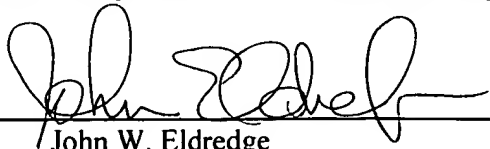
\_\_\_\_ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 501329. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

X  No payment is enclosed.

Respectfully submitted,

STRADLING YOCCA CARLSON & RAUTH

By

  
John W. Eldredge  
Reg. No. 37,613